

Oracle FLEXCUBE Direct Banking

**Corporate Cash Management User Manual
Release 12.0.3.0.0**

Part No. E52543-01

April 2014

ORACLE®

Corporate Cash Management User Manual
April 2014

Oracle Financial Services Software Limited
Oracle Park
Off Western Express Highway
Goregaon (East)
Mumbai, Maharashtra 400 063
India

Worldwide Inquiries:

Phone: +91 22 6718 3000

Fax: +91 22 6718 3001

www.oracle.com/financialservices/

Copyright © 2008, 2014, Oracle and/or its affiliates. All rights reserved.

Oracle and Java are registered trademarks of Oracle and/or its affiliates. Other names may be trademarks of their respective owners.

U.S. GOVERNMENT END USERS: Oracle programs, including any operating system, integrated software, any programs installed on the hardware, and/or documentation, delivered to U.S. Government end users are “commercial computer software” pursuant to the applicable Federal Acquisition Regulation and agency-specific supplemental regulations. As such, use, duplication, disclosure, modification, and adaptation of the programs, including any operating system, integrated software, any programs installed on the hardware, and/or documentation, shall be subject to license terms and license restrictions applicable to the programs. No other rights are granted to the U.S. Government.

This software or hardware is developed for general use in a variety of information management applications. It is not developed or intended for use in any inherently dangerous applications, including applications that may create a risk of personal injury. If you use this software or hardware in dangerous applications, then you shall be responsible to take all appropriate failsafe, backup, redundancy, and other measures to ensure its safe use. Oracle Corporation and its affiliates disclaim any liability for any damages caused by use of this software or hardware in dangerous applications.

This software and related documentation are provided under a license agreement containing restrictions on use and disclosure and are protected by intellectual property laws. Except as expressly permitted in your license agreement or allowed by law, you may not use, copy, reproduce, translate, broadcast, modify, license, transmit, distribute, exhibit, perform, publish or display any part, in any form, or by any means. Reverse engineering, disassembly, or decompilation of this software, unless required by law for interoperability, is prohibited.

The information contained herein is subject to change without notice and is not warranted to be error-free. If you find any errors, please report them to us in writing.

This software or hardware and documentation may provide access to or information on content, products and services from third parties. Oracle Corporation and its affiliates are not responsible for and expressly disclaim all warranties of any kind with respect to third-party content, products, and services. Oracle Corporation and its affiliates will not be responsible for any loss, costs, or damages incurred due to your access to or use of third-party content, products, or services.

Table of Contents

1.	Preface.....	4
2.	Transaction Host Integration Matrix.....	5
3.	Setup Account Structure	6
4.	Create Group Linkage	9
5.	Modify Account Structure	11
6.	View Account Structure	16
7.	Unmark Account as Parent	21

1. Preface

1.1 Intended Audience

This document is intended for the following audience:

- Customers
- Partners

1.2 Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

1.3 Access to OFSS Support

<https://support.us.oracle.com>

1.4 Structure

This manual is organized into the following categories:

Preface gives information on the intended audience. It also describes the overall structure of the User Manual.

Transaction Host Integration Matrix provides information on host integration requirements for the transactions covered in the User Manual.

Chapters post Transaction Host Matrix are dedicated to individual transactions and its details, covered in the User Manual.

1.5 Related Information Sources

For more information on Oracle FLEXCUBE Direct Banking Release 12.0.3.0.0, refer to the following documents:

- Oracle FLEXCUBE Direct Banking Licensing Guide
- Oracle FLEXCUBE Direct Banking Installation Manuals

2. Transaction Host Integration Matrix

Legends

NH	No Host Interface Required
★	Host Interface to be developed separately
✓	Pre integrated Host interface available
×	Pre integrated Host interface not available

Transaction Name	FLEXCUBE UBS	Third Party Host System
Create Parent Account Group Linkage	✓	★
Setup Account Structure	✓	★
Modify Account Structure	✓	★
View Account Structure	✓	★
Unmark Account as Parent	✓	★

3. Setup Account Structure

Using this option you can setup a new *Account Structure*. You can define the *Type of Account Structure* to be done. You can also define multiple child account while setting up *Account Structure*.

To Setup Account Structure:

1. Navigate through **Cash Management > Account Structure > Setup Account Structure**.

Setup Account Structure

Field Description

Field Name	Description
------------	-------------

Parent Account

Select Parent Account

[Mandatory, Drop-Down]

Select the parent account from the drop-down.

The funds will be swept or pooled into and out of this account.

Scheme Type

[Mandatory, Drop-Down]

Select any type of cash management from the drop-down.

The options are as follows:

- Notional
- Sweep

Effective Date

[Mandatory, Pick List]

Select the date from which *Cash Management* will come in effect, from pick list.

Field Name	Description
Child Account	<p>[Mandatory, Drop-Down]</p> <p>Select the <i>Child Account</i> where the funds will be pooled from and to the account which is selected in the drop-down.</p> <hr/> <p>Note: Only those accounts will be displayed which are not a part of any cash management instruction.</p>
Sweep Type	<p>[Conditional, Drop-Down]</p> <p>Select <i>Type of Sweep</i> from the drop-down. Options are as follows:</p> <ul style="list-style-type: none"> • Sweep in • Sweep out • Threshold <p>This field is enabled if the <i>Type</i> option selected is <i>Sweep</i>, from the drop-down list.</p> <hr/> <p>Note: This field is activated only when the <i>Type</i> selected is <i>Sweep</i>. Not displayed when the type selected is <i>Notional</i>.</p>
Base Amount	<p>[Conditional, Numeric, 15]</p> <p>Type the <i>Base Amount</i> that needs to be maintained in the <i>Child Accounts</i> for <i>Sweeps</i>.</p> <hr/> <p>Note: This field is activated only when the <i>Type</i> selected is <i>Sweep</i>. Not displayed when type is selected as <i>Notional</i>.</p>

2. Click **Submit**. The system displays the **Verify Setup Account Structure** screen.

Verify Setup Account Structure

Verify Setup Account Structure 25-08-2010 15:24:14 GMT +0530

Parent Account : 000030

Instruction Details

Scheme Type : Notional
Effective Date : 31-08-2010

Child Account
000041

[Change](#) [Confirm](#)

3. Click **Confirm**. The system displays the **Confirm Setup Account Structure** screen with the status message.
OR
Click **Change** to go to the previous screen and edit the entered data.

Confirm Setup Account Structure

Setup has been created successfully.
Transaction submitted for Setup Account Structure having reference 150647865183002 has been Auto Authorized .

Confirm Setup Account Structure 25-08-2010 15:24:14 GMT +0530

Parent Account : 000030

Instruction Details

Scheme Type : Notional
Effective Date : 31-08-2010

Child Account
000041

OK

4. Click **OK**. The system displays the **Setup Account Structure** screen.

4. Create Group Linkage

Using this option, you can create a Parent Account group. You can link this group to any Account Structure or can link a group to an account. While performing any sweep operation, group has to be created and the linkage of the group with the parent account has to be done.

To Create Group Linkage:

1. Navigate through **Cash Management > Parent Account-Group Linkage > Create Group Linkage**.

Create Group Linkage

Field Description

Field Name	Description
Group	[Mandatory, Drop-Down] Select a group from this drop-down. You can select a new group or already created old group.
Group Name	[Mandatory, Alphanumeric, 15] Type any <i>Group Name</i> . This will be the title for the new group created.
Select Account	[Mandatory, Drop-Down] Select any <i>Account Number</i> from this drop-down to which the group is to be assigned.
Effective Date	[Mandatory, Pick List] Select any date from the pick list from which the group is applicable.
Pool Benefit	[Optional, Check box] Select the check-box for the interest amount to be credited in each account separately or the parent account.
Intraday Sweep	[Optional, Check box] Select the check-box for <i>Intraday Sweep Batch</i> to run for transfer of funds within the day in case of withdrawal.

Reverse Sweep [Optional, Check box]

Select the check-box for *Reverse Sweep* to be done for funds to be deposited back to the original accounts or not.

2. Click **Submit**. The system displays the **Create Group Linkage Verify** screen.

Create Group Linkage

25-08-2010 01:24:32 GMT -1000

Group Name : Group	<input checked="" type="checkbox"/> Pool Benefit
Parent Account : 000044	<input type="checkbox"/> Intraday Sweep
Effective Date : 31-08-2010	<input type="checkbox"/> Reverse Sweep

Back
Confirm

3. Click **Confirm**. The system displays the final confirmation screen **Create Group Linkage Confirm** screen with status message.
OR
Click the **Back** button to edit the entered data.

Create Group Linkage

A request has been sent to Create Pooling Group.
 Your request for Create Parent Account-Group Linkage having reference 432056468129551 has been Auto Authorized .

25-08-2010 01:24:59 GMT -1000

Group Name : Group	<input checked="" type="checkbox"/> Pool Benefit
Parent Account : 000044	<input type="checkbox"/> Intraday Sweep
Effective Date : 31-08-2010	<input type="checkbox"/> Reverse Sweep

OK

5. Modify Account Structure

Using this option you can *Modify Account Structure* that have been previously setup. While modifying, you can add *Child Accounts* or can *Delete Active Accounts* but you cannot modify the *Parent Account, Type of Instruction and the Amount*.

To Modify Account Structure:

1. Navigate through **Cash Management > Account Structure > Modify Account Structure**.

Modify Account Structure

Field Description

Field Name	Description
Parent Account	[Optional, Drop-Down] Select the <i>Parent Account</i> from the drop-down. The funds will be swept or pooled into and out of this account.
Child Account	[Optional, Drop-Down] Select the <i>Child Account</i> where the funds will be pooled from and to the account which is selected in the drop-down. <hr/> Note: Only those accounts will be displayed which are not a part of any <i>Cash Management</i> instruction. <hr/>
Group Name	[Optional, Alphanumeric, 25] Type any <i>Group Name</i> by which the <i>Cash Management</i> instruction has been setup.
Scheme Type	[Mandatory, Drop-Down] Select any type of cash management to be done, <i>Notional</i> or <i>Sweep</i> .
From Date	[Optional, Pick List] Select the <i>Start Date</i> from which the instruction has been setup from pick list.
To Date	[Optional, Pick List] Select the <i>End Date</i> up to which the instruction has been setup from pick list.

2. Click **Search**. The system displays the **Modify Account Structure**.

Modify Account Structure

Modify Account Structure
25-08-2010 15:26:11 GMT +0530

Parent Account:

Group Name:

From Date:

Child Account:

Scheme Type*:

To Date:

Records 1 to 3 of 3 Page 1 of 1

Parent Account	Group Name	Scheme Type	Effective Date
<input type="radio"/> 000028		Notional	03-03-2008
<input type="radio"/> 000032		Notional	05-03-2008
<input type="radio"/> 000046		Notional	02-06-2008

Field Description

Field Name	Description
Parent Account	[Display] This column displays the parent account.
Group Name	[Display] This column displays the group name.
Scheme Type	[Display] This column displays the scheme type.
Effective Date	[Display] This column displays the effective date.

3. Select **Parent Account** option button for the account to be modified.
4. Click the **Edit** button to Edit the column.
5. Click the **Optimize Data** button to optimize the columns.
6. Click **Modify**. The system displays the **Modify Account Structure** screen.

Modify Account Structure

Modify Account Structure
25-08-2010 15:26:53 GMT +0530

Active Instructions

Child Account	
<input type="text" value="000033"/>	<input type="button" value="x"/>

New Account Structure

Child Account	
<input type="text"/>	<input type="button" value="+"/>

Field Description

Field Name	Description
Parent Account	[Display] This field displays the parent account.
Group Name	[Display] This field displays the group name.
Scheme Type	[Display] This field displays the scheme type.
Effective Date	[Display] This field displays the effective date.
Child Account	[Optional, Drop-Down] Select any child account from the drop down. The funds will be pooled from and to the account which is selected in the drop-down.

Note: Only those accounts will be displayed which are not already a part of any *Cash Management* instruction.

Active Instructions

Field Name	Description
Child Account	[Display] It displays the child account that exists under the <i>Cash Management</i> instruction.
Sweep Type	[Display] This field displays the <i>Sweep Type</i> done for the <i>Child Account</i> . This field is displayed for the scheme type as sweep.
Base Balance	[Display] This field displays the <i>Base Balance</i> . This field is displayed for the scheme type as sweep.
New Account Structure	
Child Account	[Display] It displays the <i>Child Account</i> which is selected in the <i>Child Account</i> dropdown.
Sweep Type	[Conditional, Drop-Down] Select <i>Type of Sweep</i> from the drop down which is to be done for the selected <i>Child Account</i> . This field is displayed for the <i>Scheme Type</i> as <i>Sweep</i> .
Base Balance	[Conditional, Numeric, 15] Type minimum threshold amount that needs to be maintained in the <i>Child Accounts</i> for <i>Sweeps</i> . This field is displayed for the <i>Scheme Type</i> as <i>Sweep</i> .

- Click **Submit**. The system displays the **Modify Account Structure - Verify** screen.
OR
Click **Back** to go to the previous screen and edit the entered data.

Modify Account Structure - Verify

The screenshot displays the 'Modify Account Structure - Verify' interface. At the top right, the timestamp '25-08-2010 15:27:26 GMT +0530' is visible. The form contains several input fields: 'Parent Account : 000032', 'Group Name :', 'Scheme Type : Notional', and 'Effective Date : 05-03-2008'. Below these is a section titled 'Active Instructions' with a sub-section for 'Child Account' containing the value '000033'. At the bottom right of the form, there are two buttons: 'Back' and 'Confirm'.

- Click **Confirm**. The system displays the **Modify Account Structure - Confirm** screen with the status message.
OR
Click **Back** to go to the previous screen.

Modify Account Structure - Confirm

✔ Transaction submitted for Modify Account Structure having reference 644393706183017 has been Auto Authorized .

Modify Account Structure - Confirm 25-08-2010 15:27:26 GMT +0530

Parent Account : 000032	Group Name :
Scheme Type : Notional	Effective Date : 05-03-2008

Active Instructions

Child Account
000033

OK

9. Click **OK**. The system displays the initial **Modify Account Structure** screen.

6. View Account Structure

Using this option you can view the *Account Structure* that are previously setup. You will be able to view all the *Account Structure* that have been setup for your *Customer Id*.

To View Account Structure:

1. Navigate through **Cash Management > Account Structure > View Account Structure**.

View Account Structure

Field Description

Field Name	Description
Parent Account	[Optional, Drop-Down] Select any <i>Parent Account</i> from the drop-down. The funds will be swept or pooled into this account.
Child Account	[Mandatory, Input box] Select the child account where the funds will be pooled from and to the account which is selected in the drop-down. Note: Only those accounts will be displayed which are not already a part of any <i>Cash Management</i> instruction.
Group Name	[Optional, Alphanumeric, 25] Type any group name by which the account structure has been setup.
Scheme Type	[Mandatory, Drop-Down] Select the type of account structure. The options are as follows: <ul style="list-style-type: none"> • Notional • Sweep
From Date	[Mandatory, Pick List] Select the start date from which structure has been setup.
To Date	[Optional, Pick List] Select the end date up to which structure has been setup.

2. Click **Search**. The system displays the **View Account Structure** screen.

View Account Structure

View Account Structure 25-08-2010 15:28:48 GMT +0530

Parent Account: Child Account:

Group Name: Scheme Type*:

From Date: To Date:

 Records 1 to 3 of 3 Page 1 of 1

Parent Account	Group Name	Scheme Type	Effective Date
000028		Notional	03-03-2008
000032		Notional	05-03-2008
000046		Notional	02-06-2008

Field Description

Field Name

Description

Parent Account	[Display] This column displays the parent account.
Group Name	[Display] This column displays the group name.
Scheme Type	[Display] This column displays the scheme type.
Effective Date	[Display] This column displays the effective date.

3. Click the **Parent Account** hyperlink. The system displays the child account in the **View Child Account** screen.

View Child Account

View Child Account 25-08-2010 01:20:01 GMT -10

Parent Account : 000032
Scheme Type : Notional
Effective Date : 05-03-2008

Records 1 to 1 of 1 |<< << >> >>| Page 1 of 1

Child Account	Sweep Type	Base Balance
000033		

Back

Field Description

Field Name	Description
Child Account	[Display] This column displays the child account.
Sweep Type	[Display] This column displays the sweep type.
Base Balance	[Display] This column displays the base balance.

4. Click **Back**. The system displays the initial **View Account Structure** screen.
5. Click  or  to navigate to the next or previous page in the list, respectively.
6. Click  or  to navigate to the first or last page in the list, respectively.
7. To download the complete statement, click **Download** . The system displays the **View Account** dialog screen.
8. Click **Reorder**  to reorder the columns or select the columns that appear in the list.
9. Click **Print**  to print the data.
10. Click **Edit**  column to edit the number of columns.

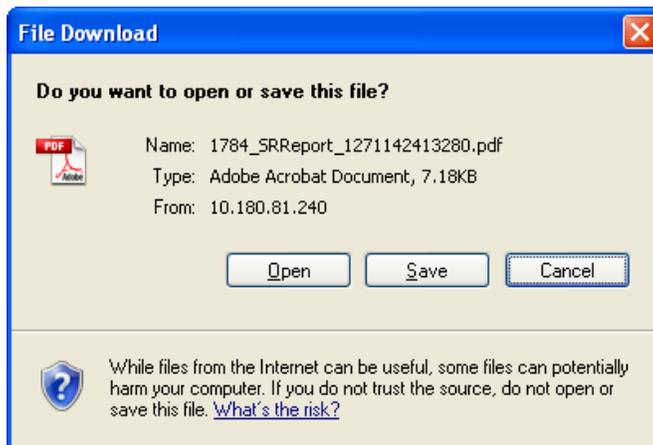
View Child Account Download

Field Description

Field Name	Description
Download Type	[Mandatory, Drop-Down] Select the appropriate report type from the drop-down list. The available choices are: <ul style="list-style-type: none"> Page Layout
File Format	[Conditional, Drop-Down] Select the appropriate type of file format from the drop-down list. The options available are: <ul style="list-style-type: none"> PDF XLS HTML RTF
Included	This box lists all the fields that will be included in the report.
Excluded	This box lists all the fields that will be excluded from the report.

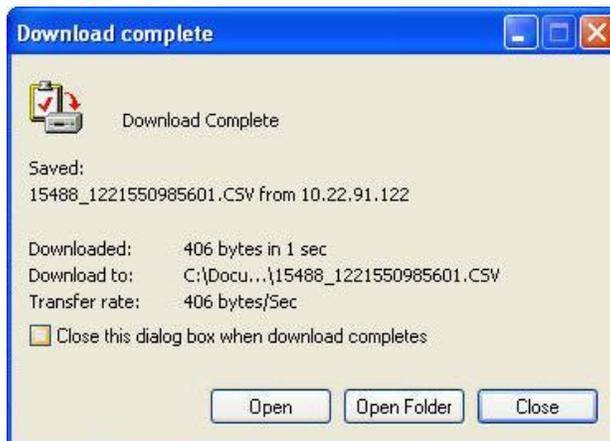
11. Select the fields that are to be excluded from the Included box and click the button. The *Excluded* box appears on the left-side of the dialog box.
12. Select the fields that are to be included from the Excluded box and click the button. The *Included* box appears on the right-side of the dialog box. All the fields are, by default, selected and included.
13. Select the appropriate report type, format, and fields from the Download **Deal details** and click the **Download** button. The system displays the *File Download* message box.

File Download



14. Click the **Save** button to save the file on your file system. The system displays the *Save As* dialog box.
15. Enter the name for the file and the location and click on the **Save** button. Once the download is complete, the system displays the *Download Complete* message box.

Download Complete



16. Click **Open** to open the file or click the **Close** button to view the file later.
OR
Click **Open Folder** to open the folder in which the file is saved.
OR
Click **Close** to close the **Download Outward Guarantee** dialog box.

7. Unmark Account as Parent

Using this option you can unmark an account as Parent which does not have any child associated with it.

To Unmark Account as Parent:

1. Navigate through **Cash Management > Parent Account-Group Linkage > Unmark Account as Parent.**

Unmark Account as Parent

Field Description

Field Name	Description
Parent Account	[Optional, Drop-Down] Select any parent account from the drop-down. The funds will be swept or pooled into and out of this account.
Group Name	[Optional, Alphanumeric, 25] Type any group name by which the <i>Account Structure</i> has been setup.
Scheme Type	[Mandatory, Drop-Down] Select any type of <i>Account Structure</i> from the drop down. The options are as follows: <ul style="list-style-type: none"> • Notional • Sweep
From Date	[Optional, Pick List] Select the <i>Start Date</i> from the pick list from which structure has been setup.
To Date	[Optional, Pick List] Select the <i>End Date</i> from the pick list up to which structure has been setup.

2. Click **Search**. The system displays the **Unmark Account as Parent** screen.

Unmark Account as Parent

31-03-2011 13:00:00 GMT +0530

Parent Account:

Scheme Type*:

Group Name:

To Date:

From Date:

Records 1 to 4 of 4 Page 1 of 1

Parent Account	Group Name	Scheme Type	Effective Date
<input type="radio"/> 1000000789	789NOTIONAL	Notional	01-03-2011
<input checked="" type="radio"/> QT100182210	2210SWEEP	Notional	15-10-2010
<input type="radio"/> QT100182214	AKS789	Notional	20-10-2010
<input type="radio"/> QT100182244	2217SWP	Notional	21-10-2010

- Select any **Parent Account** option button to be deleted and click the **Unmark** button. The system displays the **Unmark Account as Parent – Verify** screen.

Unmark Parent Account - Verify

31-03-2011 13:00:00 GMT +0530

Parent Account : QT100182210
 Scheme Type : Notional
 Effective Date : 15-10-2010

- Click **Confirm**. The system displays the **Unmark Account as Parent - Confirm** screen.

Unmark Parent Account - Confirm

✔ Pooling instruction deleted successfully
 Transaction submitted for Unmark Account as Parent having reference 177412169341727 has been Auto Authorized .

31-03-2011 13:00:00 GMT +0530

Parent Account : QT100182210
 Scheme Type : Notional
 Effective Date : 15-10-2010

- Click **OK**. The system displays the initial **Unmark Account as Parent** screen.